Notice of the violations in UPCOM market because of late announcement of Audited Financial statements 2019

Based on Clause 1, Article 30 of Regulation on Organization and Management of UPCoM attached to the Decision No.634/QD-SGDHN dated 24/09/2019 of HNX, HNX announced a reminder on violation on the whole market for the following stock codes:

| **STT** | **Code** | **Status** |
| --- | --- | --- |
| 1 | ACS | Normal |
| 2 | AQN | Normal |
| 3 | BXT | Normal |
| 4 | C12 | Normal |
| 5 | C21 | Normal |
| 6 | CBC | Normal |
| 7 | CC4 | Normal |
| 8 | CEG | Normal |
| 9 | CFV | Normal |
| 10 | DCH | Normal |
| 11 | DKH | Normal |
| 12 | E29 | Normal |
| 13 | GQN | Normal |
| 14 | HAN | Normal |
| 15 | HGA | Normal |
| 16 | HGC | Normal |
| 17 | HPI | Normal |
| 18 | HSA | Normal |
| 19 | ICC | Normal |
| 20 | LQN | Normal |
| 21 | MHY | Normal |
| 22 | NNQ | Normal |
| 23 | NSG | Normal |
| 24 | PDT | Normal |
| 25 | PEC | Normal |
| 26 | PPI | Normal |
| 27 | PRT | Normal |
| 28 | PTV | Normal |
| 29 | QNT | Normal |
| 30 | RCD | Normal |
| 31 | TA3 | Normal |
| 32 | TS5 | Normal |
| 33 | TVN | Normal |
| 34 | VGI | Normal |
| 35 |  | Normal |
| 36 | VSF | Normal |
| 37 |  | Trading restriction |
| 38 | B82 | Trading restriction |
| 39 | BDC | Trading restriction |
| 40 | BT6 | Trading restriction |
| 41 | CAD | Trading restriction |
| 42 | CTA | Trading restriction |
| 43 | MTN | Trading restriction |
| 44 | FDG | Trading restriction |
| 45 | G20 | Trading restriction |
| 46 | HLG | Trading restriction |
| 47 | HVA | Trading restriction |
| 48 | KAC | Trading restriction |
| 49 |  | Trading restriction |
| 50 | LCC | Trading restriction |
| 51 | LTC | Trading restriction |
| 52 | MES | Trading restriction |
| 53 | NDF | Trading restriction |
| 54 | NOS | Trading restriction |
| 55 | NTB | Trading restriction |
| 56 | ONW | Trading restriction |
| 57 | PID | Trading restriction |
| 58 | PSG | Trading restriction |
| 59 | PVA | Trading restriction |
| 60 | PX1 | Trading restriction |
| 61 | PXA | Trading restriction |
| 62 | PXC | Trading restriction |
| *63* | 512 | Trading restriction |
| 64 | 527 | Trading restriction |
| 65 | 596 | Trading restriction |
| 66 | SDI | Trading restriction |
| 67 | SD8 | Trading restriction |
| 68 | SDB | Trading restriction |
| 69 | SDE | Trading restriction |
| 70 | SDH | Trading restriction |
| 71 | SDX | Trading restriction |
| 72 | SGO | Trading restriction |
| 73 | STL | Trading restriction |
| 74 | TBT | Trading restriction |
| 75 | TCK | Trading restriction |
| 76 | THl | Trading restriction |
| 77 | TIE | Trading restriction |
| 78 |  | Trading restriction |
| 79 | V15 | Trading restriction |
| 80 | X18 | Trading restriction |
| 81 | BAM | Trading suspended |
| 82 | BGM | Trading suspended |
| 83 | BLU | Trading suspended |
| 84 | FBA | Trading suspended |
| 85 | KSA | Trading suspended |
| 86 | KSS | Trading suspended |
| 87 | KTB | Trading suspended |
| 88 | MTM | Trading suspended |
| 89 | PTK | Trading suspended |
| 90 | VKP | Trading suspended |
| 91 | VSP | Trading suspended |

Reason:

* Late in information disclosure of Audited Financial statement 2019 (over 30 days)
* For the stocks have normal trading status, is they continue to be late in submit information (over 45 days), they shall be put in trading suspended status for 5 trading days.